PTO/SB/21 (08-03) Approved for use through 08/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Reduction Act of 1995, no person required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/601.973 **TRANSMITTAL** Filing Date 06/20/2003 **FORM** First Named Inventor Jerome H. Simon Art Unit (to be used for all correspondence after initial filing) **Examiner Name** Attorney Docket Number 04870-P27 US Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final **Proprietary Information** Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request Identify below): Request for Refund **Express Abandonment Request** CD, Number of CD(s) 1 Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Return Receipt Post Card Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Harvey Kaye Perkins, Smith & Cohen, LLP Individual name Signature Date

CERTIFICATE OF TRANSMISSION/MAILING

01/30/2004

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name Harvey Kaye Date 01/30/2004 Signature

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Jerome H. Simon)
Ser. No: 10/601,973)
Filed: 06/20/2003)

For: Radiant Light Collection and

Distribution From Segmented)
Reflector Systems and Combined)
Reflector and Refractor)

Systems

Atty Dkt: 04870-P27 US

To: Commissioner for Patents

Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

This Information Disclosure Statement (Form PTO/SB/08A) including copies of 18 patents is submitted under 37 CFR 1.97(b)(1).

REMARKS

Applicant submits herewith an Information Disclosure Statement under 37 CFR 1.97(b)(1) prior to the initial Office action. In view thereof, no fee is required.

In view of the voluminous nature of the 18 patents which are being submitted herewith, the copies thereof are provided on a CD-ROM in a padded envelope attached hereto together with the IDS form.

It should be noted that certain of the patents may not be actual "prior art" to the present application.

The undersigned's telephone No. is provided below in the event that a call may be deemed desirable by the Examiner.

Dated: January 30, 2004

PERKINS SMITH & COHEN LLP One Beacon Street Boston, MA 02108 By:

Reg. No. 18,978

Counsel for Applicants

Respectfully submitted,

301-948-5535

Certificate of Mailing

I certify that this document, with attachments, is being deposited with the United States Mail Service on January 30, 2004 in a postage prepaid envelope addressed to: Commissioner for Patents, Box 1450 Alexandria, VA 22313/1450.

Harvey Kaye

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

ne Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute	for	form	1440/07	$\overline{}$
Substitute	101	101111	1443/6	v

Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	10/601,973			
Filing Date	06/20/2003			
First Named Inventor	Jerome H. Simon			
Art Unit	2875			
Examiner Name				
Attorney Docket Number	04870-P27 US			

Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials*	No.1	Number-Kind Code ^{2 (if known)}	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
	1	^{US-} 1,900,436	04-18-1929	Dourgnon	
	2	^{US-} 2,344,221	03-19-1942	Trautner	
	3	^{US-} 2,359,151	06-27-1941	Pennow	
	4	^{US-} 2,454,332	02-09-1944	Mitchell et al	
	5	^{US-} 3,739,169	06-12-1973	Weinreich	
	6	^{US-} 4,159,511	06-26-1979	Dejonc	
	7	^{US-} 4,264,948	04-28-1981	Cherouge	
	8	^{US-} 4,962,450	10-09-1990	Reshetin	
	9	^{US-} 4,969,074	11-06-1990	Davis et al	
	10	^{US-} 6,027,231	02-22-2000	Fouke	
	11	^{US-} 5,897,201	04-27-1999	Simon	
	12	^{US-} 5,915, 82 3	06-29-1999	Simon	
	13	^{US-} 6,540,382	04-01-2003	Simon	
	14	^{US-} 6,536,921	03-25-2003	Simon	
	15	^{US-} 6,354,725	03-12-2002	Simon	
	16	^{US-} 6,361,191	03-26-2002	Simon	
	17	^{US-} 6,616,305	09-09-2003	Simon	
	18	^{US-} 6,502,964	01-07-2003	Simon	
		US-			

		Publication Date	MENTS Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	Τ
Initials* No.¹ Country Code³ Number⁴ Kind Code⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Or Relevant Figures Appear	T	
					Ļ
					╊
			<u>, , , , , , , , , , , , , , , , , , , </u>		t
					L
		Country Code* Number **Kind Code* (if known)	Country Code* "Number * "Kind Code* (if known)	Country Code* Number * Kind Code* (if known)	Country Code* Number ** Kind Code* (if known)

Examiner Signature		Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.